

Rec'd PCT/PTO 26 FEB 2002

BAKER BOTTS LLPTRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

EXPRESS MAIL LABEL No.

EU206385857US

DATE

26 FEBRUARY 2002

ATTORNEY'S DOCKET NO.

A35040 PCT USA

U.S. APPLICATION NO.

10/069653

INTERNATIONAL APPLICATION NO.
PCT/DE00/02905INTERNATIONAL FILING DATE
25 AUGUST 200PRIORITY DATE CLAIMED
26 AUGUST 1999

TITLE OF INVENTION LUTE

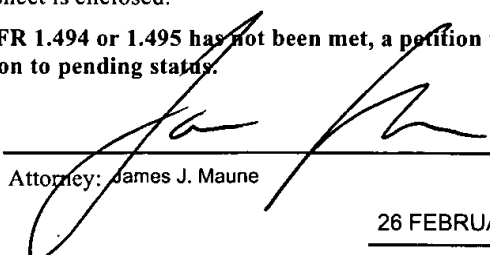
APPLICANT(S) FOR DO/EO/US Andre Burguete, Benno Streu, Gunter Mark

Applicant herewith submits to the United States Designated /Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(I).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ A copy of the International Search Report (PCT/ISA/210)
 - a. ☒ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. ☒ A copy of the International Preliminary Examination Report (PCT/IPEA/409)
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A FIRST preliminary amendment.
14. ☐ A SECOND or SUBSEQUENT preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☒ Other items or information:
 - a. ☒ a copy of the International Search Report (PCT/ISA/210)
 - b. ☒ a copy of the International Preliminary Examination Report (PCT/IPEA/409)

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|--|---------------------|---|---|---|-----|
| INTERNATIONAL APPLICATION NO. 10/069653 PCT/DE00/02905 | | INTERNATIONAL FILING DATE 25 AUGUST 200 | | PRIORITY DATE CLAIMED 26 AUGUST 1999 | |
| 17. <input type="checkbox"/> The following fees are submitted: Basic National Fee (37 CFR 1.492(a)(1)-(5): Neither international preliminary examination fee (37 CFR 1.482) Nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO (1.492(a)(3)) \$1,040 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO (1.492(a)(5)) \$890.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO (1.492(a)(2)) \$740.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) (1.492(a)(1)) \$710.00 International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00 <div style="text-align: right;"> ENTER APPROPRIATE BASIC FEE AMOUNT = \$ 890 </div> | | | | CALCULATIONS <small>PTO USE ONLY</small> | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)). <div style="text-align: right;">\$</div> | | | | <div style="text-align: right;">\$</div> | |
| Claims | Number Filed | Number Extra | Rate | \$ | |
| Total Claims | -20= | 0 | X \$ 18.00 | \$ | 0 |
| Independent Claims | -3= | 0 | X \$ 84.00 | \$ | 0 |
| Multiple dependent claim(s) (if applicable) | | | + \$280.00 | \$ | |
| TOTAL OF ABOVE CALCULATIONS = | | | | \$ | 890 |
| Reduction by 1/2 for filing by small entity, if applicable. <div style="text-align: right;">\$</div> | | | | <div style="text-align: right;">\$</div> | |
| SUBTOTAL = | | | | \$ | 445 |
| Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)). <div style="text-align: center;">+</div> | | | | <div style="text-align: center;">\$</div> | |
| TOTAL NATIONAL FEE = | | | | \$ | 445 |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property <div style="text-align: center;">+</div> | | | | <div style="text-align: center;">\$</div> | |
| TOTAL FEES ENCLOSED = | | | | \$ | 445 |
| | | | | Amt. refunded | \$ |
| | | | | charged | \$ |
| a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>445</u> to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge our Deposit Account No. <u>02-4377</u> in amount of \$_____ to cover the above fees. A copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>02-4377</u> . A copy of this sheet is enclosed. NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. SEND ALL CORRESPONDENCE TO: James J. Maune BAKER BOTTS L.L.P. 30 Rockefeller Plaza New York, New York 10112-4498 | | | | | |
|  Attorney: James J. Maune | | | PTO Reg: 26,946 26 FEBRUARY 2002 Date | | |

BAKER BOTTS LLP

Attorney Docket Number: A35040 PCT USA

Title: LUTE

Use Space Below for Additional Information:

CERTIFICATION UNDER 37 C.F.R. 1.8(a) OR 1.10*

(When using Express Mail, the Express Mail label number is mandatory; Express Mail Certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

- ☒ deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner for Patents, Washington D.C. 20231.

37 C.F.R. 1.8(a)

- ☐ with sufficient postage as first class mail.

37 C.F.R. 1.10*

- ☒ as "Express Mail Post Office to Address"
Mailing Label No. EU206385857US (mandatory)

Leroy Chick
Signature

Date: February 26, 2002

Leroy Chick

(type or print name of person certifying)

***WARNING:** Each paper of fee filed by "Express Mail" must have the number of the "Express Mail" mailing label placed thereon prior to mailing 37 C.F.R. 1.10(b).
"Since the filing of correspondence under § 1.10 without the Express Mail label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will not be granted on petition. "Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.